

# UNITED STATES OF AMERICA OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

One Lafayette Centre 1120 20th Street, N.W. — 9th Floor Washington, DC 20036-3419

> FAX: COM (202) 606-5050 FTS (202) 606-5050

SECRETARY OF LABOR,

Complainant,

v.

OSHRC Docket No. 93-885

ARDYCE CARLSON, M.D.,

Respondent.

**REMAND ORDER** 

BEFORE: FOULKE, Chairman, and MONTOYA, Commissioner.

BY THE COMMISSION:

By letter of August 14, 1993, Ardyce Carlson, M.D., acting *pro se*, requested relief from an order of the Chief Administrative Law Judge. The judge dismissed Dr. Carlson's notice of contest for failure to file an answer to the Secretary's complaint, and failure to respond to the judge's Order to Show Cause.

In his letter, Dr. Carlson states that "[a]n answer to the complaint was filed via certified mail and receipt received." He enclosed no receipt or copy thereof, however, and there is no answer in the Commission's file. Dr. Carlson also states that "[n]o order to show cause was received by myself." The address he gives on his letter is different from the one he gave on his notice of contest, which is where the Order to Show Cause was addressed. In further explanation, Dr. Carlson states, "I have unfortunately had to file for bankruptcy and close my practice. You should have received notification from my attorney." The Commission's file reveals no such notification.

The circumstances alleged in Dr. Carlson's letter may represent adequate grounds for relief from dismissal under Federal Rule of Civil Procedure 60(b). On the other hand, the

Commission has not been presented with a sufficient factual record upon which to base such a decision. The Commission therefore finds it appropriate to allow Dr. Carlson an opportunity to establish whether his notice of contest should be reinstated.

Accordingly, the Commission vacates the Chief Administrative Law Judge's order dated August 10, 1993. The Commission remands this case to the Chief Administrative Law Judge with instructions to develop an evidentiary record through affidavit(s) or other appropriate proceedings to allow Dr. Carlson to offer proof of the circumstances alleged in his letter.

Edwin G. Foulke, Jr.

Chairman

Velma Montoya Commissioner

Dated: \_September 30, 1993

## NOTICE OF ORDER

The attached Remand Order by the Occupational Safety and Health Review Commission was issued and served on the following on September 30, 1993.

Daniel J. Mick, Esq. Counsel for Regional Trial Litigation Office of the Solicitor, USDOL 200 Constitution Ave., N.W. Room S4004 Washington, D.C. 20210

James E. White, Esq. Regional Solicitor Office of the Solicitor, U.S. DOL Suite 501 525 S. Griffin Street Dallas, TX 75202

Ardyce Carlson, M.D. 3212 Concord, Suite C Orange, TX 77630

Irving Sommer
Administrative Law Judge
Occupational Safety and Health
Review Commission
One Lafayette Centre
1120 20th Street, Suite 990
Washington, D.C. 20036-3419

FOR THE COMMISSION

Ray H. Darling, Jr. Executive Secretary



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**OSHRC DOCKET** 

SECRETARY OF LABOR Complainant,

V

л.р. ) NO. 93-0885

ARDYCE CARLSON, M.D. Respondent.

#### NOTICE OF DOCKETING OF ADMINISTRATIVE LAW JUDGE'S DECISION

The Administrative Law Judge's Report in the above referenced case was docketed with the Commission on August 12, 1993. The decision of the Judge will become a final order of the Commission on September 10, 1993 unless a Commission member directs review of the decision on or before that date. ANY PARTY DESIRING REVIEW OF THE JUDGE'S DECISION BY THE COMMISSION MUST FILE A PETITION FOR DISCRETIONARY REVIEW. Any such petition should be received by the Executive Secretary on or before September 1, 1993 in order to permit sufficient time for its review. See Commission Rule 91, 29 C.F.R. 2200.91.

All further pleadings or communications regarding this case shall be addressed to:

Executive Secretary Occupational Safety and Health Review Commission 1120 20th St. N.W., Suite 980 Washington, D.C. 20036-3419

Petitioning parties shall also mail a copy to:

Daniel J. Mick, Esq. Counsel for Regional Trial Litigation Office of the Solicitor, U.S. DOL Room S4004 200 Constitution Avenue, N.W. Washington, D.C. 20210

If a Direction for Review is issued by the Commission, then the Counsel for Regional Trial Litigation will represent the Department of Labor. Any party having questions about review rights may contact the Commission's Executive Secretary or call (202) 606-5400.

Date: August 12, 1993

Ray H. Darling, Jr. Executive Secretary

FOR THE COMMISSION

# DOCKET NO. 93-0885

## NOTICE IS GIVEN TO THE FOLLOWING:

Daniel J. Mick, Esq. Counsel for Regional Trial Litigation Office of the Solicitor, U.S. DOL Room S4004 200 Constitution Ave., N.W. Washington, D.C. 20210

James E. White, Esq. Regional Solicitor Office of the Solicitor, U.S. DOL 525 Griffin Square Bldg., Suite 501 Griffin & Young Streets Dallas, TX 75202

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Chief Administrative Law Judge
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# UNITED STATES OF AMERICA OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

SECRETARY OF LABOR,

Complainant,

v.

Docket No.

93-0885

ARDYCE CARLSON, M.D.,

Respondent.

### ORDER

On June 22, 1993, the undersigned issued an ORDER TO SHOW CAUSE to the Respondent as to why his Notice of Contest should not be dismissed for failure to file an answer to the complaint as required by the Commission's Rules of Procedure. The Respondent failed to reply to the ORDER. His actions demonstrate either that he has abandoned the case or treats the Rules of Procedure of the Commission with disdain. This cannot be countenanced as it seriously impedes the administration of justice.

Accordingly, the Notice of Contest filed by the Respondent is dismissed. The Secretary's citation(s) and proposed penalties are AFFIRMED in all respects.

IRVING SOMMER Judge, OSHRC

DATED:

AUG 1 0 1993

Washington, D.C.