QUICK GUIDE TO E-FILING WITH OSHRC (THE COMMISSION)

Updated JULY 2025

This Quick Guide to E-Filing with OSHRC (the Commission) provides a brief description, with website screen shots, to assist Commission E-File System (CEFS) users.

Accessing the Commission's E-File System: Go to the Commission website homepage at <u>https://www.oshrc.gov</u>. In the upper right corner, there is a link for "E-filing Login."

Directly below "E-filing Login" there is also an E-Filing Tab which contains an "E-Filing Login" link in its dropdown menu.

Clicking either of these links will open the Commission E-File System homepage, as shown on the following screen:

	RUTEW COMMISSON
	Occupational Safety
Hea	alth Review Commission
You are accessing includes (1) this cor connected to this net information system Unauthorized or im action, as well as ci	a U.S. Government information system, which mputer, (2) this computer network, (3) all computers etwork, and (4) all devices and storage media work or to a computer on this network. This is provided for U.S. Government-authorized use on proper use of this system may result in disciplinary ivil and criminal penalties.
By using this inform following:	nation system, you understand and consent to the
You have no re	easonable expectation of privacy regarding any
Username	
Bassword	
Password	

Each person must register to create a user profile that allows that person to access and use the Commission E-File System. Each E-File System user needs to register only once (for each email address).

REGISTRATION: To register, Click the **Register** box, which is located at the bottom left of the main Commission E-File System homepage. The **E-File Registration Page** will open, as shown on the following screen:

Please fill out the required fields prior to box below. After your registration has b receive an email confirmation listing yo	clicking the "Submit"
information and credentials, including a	een accepted, you will ur new account i temporary password.
E-Mail Address Q	
Please enter email address into this field]
Company Name 9	
Please enter company name into this field	
First Name Q	
Please enter first name into this field	
Please enter middle name into this field.	
Last Name Q	
Preuse enter last name tito and hero	
Street Address 1 Q	
Please enter your primary street address into t	this field
Street Address 2	
Please enter your secondary street address in	to this field
City O	
Please enter your city into this field	
State Q	
Select from these options	~1
Zin Code Q	
Please enter zip code into this field	
Dhave Number O	
Please enter your phone number into this field	
The second secon	
Fax Number	
Please enter your fax number into this field	
Carl Marca and Carl Carl Carl Carl	
Contact Type Q	

Enter the Required ((a) information as requested—two important notes:

- **a.** The "Company Name" is the employer *you* work for, not the company you represent.
 - i. Respondent counsel Your firm's name
 - ii. Self-Represented Employer Your company's name
 - iii. Solicitor's Office Office of the Solicitor

b. Select the appropriate "**Contact Type**." This sets up the layout for your home screen.

Select from these options
Affected Employee
Attorney
Authorized Employee Representative (Union)
Legal Assistant
Non-Attorney Representative (Including Third Party Safety Representative; but NOT including Self-Represented)
OSHA Area Office
Office of the Solicitor, U.S. DOL
Regional Solicitor
Safety Representative/Company
Self-Represented (including Company In-House Safety Representative)
Union

After you fill in the blanks on the **E-File Registration Page**, click the **Submit** box located at the bottom right of the **E-File Registration Page**.

Once submitted, Commission Staff will review the user's registration request for acceptance.

After your registration has been successfully completed, you will receive an email confirmation listing your new account information and credentials, including a temporary password. Your login ID is your registration email address.



Importantly, a registered E-File user, sometimes referred to as an "Active Contact," may <u>not</u> change registration contact information regarding their *email address or username* from their "My Account Details" page in the System.

To change *email address or username*, the user must <u>register a</u> <u>new E-File user account</u> with the new contact information.

Filing A Document: Parties and intervenors, except for the OSHA Area Office, will see the following screen on the Commission E-File System homepage.





To file a late Notice of Contest document, an individual or an employer will Click the box "**File a Late Notice of Contest with the Office of the Executive Secretary**," located in the upper left area of the Commission E-File System homepage.

An individual or an employer who submits a Notice of Contest for filing in the Commission E-File System, with this link, is not admitting that the Notice of Contest was late filed. Any dispute regarding whether the Notice of Contest was timely-filed will be resolved in later proceedings before the Commission and Judge. To file a document in an existing case, Click the box "**File a New Document in a Pending OSHRC Case**," located in the upper right area of the Commission E-File System homepage. The following screen will open.



Click the link that says "Search" and you will see the Case Search window below:

Case Search	
Please enter a search query for only ONE field t Enter either the Docket Number, Case Name, O	o locate the current OSHRC case. R OSHA Inspection Number.
Docket Number:	
OSHA Inspection Number:	Search
	OK Cancel

Enter either the Docket Number, Case Name, <u>or</u> OSHA Inspection Number to find the current OSHRC case in which you are submitting a document. Please use only one search field.



After entering the case information in the "Case Search" window and Clicking Search a list of current OSHRC cases that match the case information entered will appear. Select the case in which you intend to submit the document for filing.

Case Name: OSHA Inspection Number: Show 10 ~ entries Filter: Select Docket Number Inspection Number Case Name O 16-0187 8675309 Here Comes the Boon Showing 1 to 1 of 1 entries Previous Next	Docke	Number: 16-0187			
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Showing 1 to 1 of 1 entries	0	16-0187	8675309	Here Come	s the Boon
	Showi	ng 1 to 1 of 1 ent	tries	Previo	us Next

The OSHRC case selected will appear on the "**File a New Document in a Pending OSHRC Case**" page. The OSHRC Case Name, Docket Number, and OSHA Inspection Number will be shown on this page.

Important: If you attempt to submit a document in a case in which you are not registered as an Active Contact you will be prompted to file a Notice of Appearance in conformance with Commission Rule 23.

After the Notice of Appearance has been accepted by the Commission, you will have access to the electronic case file. Upon acceptance, you become an Active Contact for that case. As an Active Contact you may now file documents and review the electronic filing history for the case.

Then, next to the "File Type" line, an Active Contact can Click the arrow to open the File Type dropdown list. Once the File Type dropdown list is opened, the System will display a menu of document types in alphabetical order. From the File Type dropdown list, Click the document type you are submitting for filing.



Upload the document: From your server/computer select "**Choose File**" to find and choose the document to be filed and then click "**Submit**."

As the Active Contact, you will receive an Acknowledgment email from the System if your submission was successful.



After the document is reviewed and <u>approved</u> by a Commission employee, you will receive a Notification of Electronic Filing (NEF) email from the System that confirms acceptance and identifies the case name, docket number, and document type. This acceptance NEF is sent to you as the Active Contact who submitted the document for filing <u>and</u> to all registered Active Contacts for that electronic case file.



If the document is <u>rejected</u>, the Active Contact who filed the document will receive a Notification from the System. The email will provide the reason why the document was rejected. Only the Active Contact who submitted the document will receive the rejection email.

You may file a document in the Commission E-File System <u>only</u> in cases in which you are both a **registered user** in the E-File System and an Active Contact for that particular case. Your registration must be accepted by a Commission staff member to complete the registration process. After your Notice of Appearance has been accepted by the Commission, you become an Active Contact for that case.



Other considerations regarding Active Contact registration.

- **a.** Docket clerks, administrative assistants, and legal assistants, among others, may each register as Active Contacts.
 - i. Each registered user must file a Notice of Appearance in the Commission E-File System case file to become an Active Contact for a case.
 - **ii.** When a docket clerk, administrative assistant, or legal assistant is included as an additional contact in a Notice of Appearance, each must **be registered** with the System to become an Active Contact for a particular case.
- **b.** Registered Active Contacts receive a Notification email from the System when documents have been <u>accepted for filing</u> in the System.
- **c.** A group email address may be registered as an Active Contact for Attorneys, nonattorney representatives, and self-represented parties. In such cases, the group email address will be the Commission E-File System login ID. The registered Active Contact group email address will receive an automatic Notification email for all documents that have been <u>accepted for filing</u> by Commission staff.

Use of E-File System is Required: The Commission E-File System is the official record and docket storage location for case information. <u>In all cases</u>, a party or intervenor **must use** the Commission E-File System and **must register** an Active Contact:

- *unless* the self-represented party or intervenor is excused by the Commission or the Judge under Commission Rule 8(c)(2); or
- *unless* the documents are exempt from electronic filing as described in Commission Rule 8(c)(5).

Reviewing Case Docket History: To review the electronic case file docket history. Click the box "Review Docket History in a Pending OSHRC Case," located in the middle of the Commission E-File System homepage. The "Active Cases" screen page will open.



Only Registered Active Contacts can review the electronic case file docket history.

The "Active Cases" page displays a list of all pending Commission cases in which you are registered as an Active Contact. The Commission case docket number, case name, and OSHA inspection number for each of those cases will be displayed. The Commission case docket number is <u>hyperlinked</u>. To view detailed information about a specific case, Click the case docket number <u>hyperlink</u>. This will open the "Matters Docket Sheet with Events" page for the selected case.



-->Back | Home | Logout -->

Matters Docket Sheet with Events

+						
1	Docket Number: 99	-9999	Case Name: XY	Z Corporation		
3	udge-Active: Hon. R.T.	Justice				
S	OSHA Inper No : AJA? N		Simplified?; N		Mandatory Stimp, N	Stayed?: N
c	Complex Casel: N		Simplified Proc Re	moved?: N	Mandatory Stimt Removal?: N	Stayed Removed? N
F	temand? N					Treamoneur 14
F	atality Involved? N					
	Client Contacts	Company	Class	Type	Contact	
	Jane Doe	OSHA	OSHA Area Office	Phone:	2000-2002-2002	
	doe Jane Odol gov			Fax		
	James Roe	Office of the Solicitor, U.S. DOL	Office of the Solicitor, U.S. DOL	Phone:	XXXX-XXXX-XXXX	
	roe james@dol.gov			Fax		
	K.T. Jones	XYZ Corporation	Self-Represented (including Company In-House Safety Phone: Representative		0000-3003-30003	
	ktjones@ayzcorp.com		Fax:			
			Citatious			
	Citation Number: 99999	199	Items Contested: 1		Comments:	
	Citation Type: Other		Penalty Contested: \$150.00			
	Event Date	Event	Document Type			E-File Status
1	03/02/2020	Citation	Citation and Notific	tion of Penalties		Accepted
2	03/26/2020	Notice	Notice of Contest			Accepted
3	04/15/2020	Other	Other			Accepted
4	04/20/2020	Notice	Notice of Docketing	and Instructions to Et	nployers and Postcard	NA
5	04/20/2020	Notice	Notice of Appearan			Accepted
6	04/20/2020	Assignment to Chief Judge	Forward Case To C	ALJ Office		NA
7	04/21/2020	Motion	Motion for Extensio	n of Time to File		Accepted
		Additional Document	XYZ Corporation D	rder Granting EOT dor	×	
8	04/24/2020	Assign to ALJ - National	Notice of Assignme	nt to Simplified Proces	ndings National Office	NA
		Additional Document	Guide In Simplified	Proceedings - June 2	019 (1) pdf	
9	04/24/2020	Motion	Motion to Discontin	ue Simplified Proceed	nas	Accepted
		Additional Document	XYZ Corporation O	ider removing case fro	m SP.doc	
10	04/27/2020	Order	Order Removing Ca	ase from Simplified Pro	predings	NA
11	04/27/2020	Notice	Notice of Pre-Heari	ng Conference Call		NA
12	05/12/2020	Postcard	Post Card Receives	P/05/201333		NA
13	05/14/2020	Complaint	Complaint			Accepted
		Additional Document	XYZ Corporation C	tation-EXHIBIT A pdf		
14	05/18/2020	Notice	Notice of Appearan	<u></u>		Accepted
15	05/18/2020	Motion	Motion for Extensio	n of Time to File Answ		Accepted
18	05/18/2020	Order	Order Granting Extr	topion of Time to File		NA

The "**Matters Docket Sheet with Events**" page displays the case information, including, the assigned Judge (if any), the case type (such as, simplified proceeding or mandatory settlement proceeding), and other general case information. Active Contacts for the case are displayed under the heading **Client Contacts** on this page.

11

All "accepted" documents are listed chronologically and described by document type. To view a document, **Click** on the listed document's <u>hyperlink</u>, under **Document Type**.

SUPPORT:

Technical questions regarding the use of the Commission E-File System application may be directed to **mp.support@oshrc.gov**. When submitting an email message please provide contact information. That mailbox is monitored on business days from 8:00 a.m. to 4:00 p.m. Eastern Time.

The Commission administrative staff responds to non-technical and docket related questions regarding the Commission E-File System during normal business hours. If you encounter problems with document submission after the close of business, Commission staff are not available to assist you **until the next business day**.

Definitions

Term	Definition
Accepted	When a filed document moves from "submitted" status to "accepted" status; the opposite of "rejected."
Active Contact	A Registered E-File User with access to a docketed case and capable of interacting with the docketed case.
Active Contact - Group Registration	An email may be registered for a group; the username will be the same as the group email. For example: trialgroup@attorney.com
Acknowledgement email (AEF - Acknowledgement of Electronic Filing)	The Commission E-File System automatically issues an Acknowledgment of Electronic Filing (AEF) to the email of the Active Contact who submitted the document, stating that the document was " <u>successfully submitted</u> " to the system. This is not issued to other Active Contacts in the case.
CEFS (Commission E-File System)	OSHRC's electronic case management system serves as the repository for official case information.
Contact Type	A category that defines the relationship between that person and the party or intervenor. The available options are: (a) Affected Employee (b) Attorney (c) Authorized Employee Representative (Union) (d) Legal Assistant (e) Non-Attorney Representative (including Third Party Safety Representative; but NOT including Self-Represented) (f) OSHA Area Office (g) Office of the Solicitor, U.S. DOL (h) Regional Solicitor (i) Safety Representative/Company (j) Self-Represented (including Company In-House Safety Representative) (k) Union
Docket and Docket Number	Docket is the collection of all cases for Occupational Safety and Health Review Commission (OSHRC), past and present. The Docket Number is the individual number assigned to a particular case, which is usually linked to a particular Occupational Safety and Health Administration (OSHA) inspection number
Electronic filing (E-filing)	Using the Commission's E-filing System to file documents with a Judge or the Commission (mandatory unless special exemption granted).
Electronically served	To Electronically Serve, the document must be sent directly to a party's or intervenor's email address (the E-File System does not serve a document).

Electronic Signature	An electronic symbol or process attached to or logically associated with an individual with the intent to sign the document. <i>See</i> Commission Rule $8(c)(4)$.
Email or Electronic mail	As used in this guide, this is a user's standard electronic mail system and NOT the Commission's E-filing system.
Intervenor	A non-party who petitions for, and is granted, leave to intervene in a proceeding. <i>See</i> Rule 21
NEF Accepted Notification email (Notification of Electronic Filing)	Notification of acceptance of submitted document. An NEF is sent to the Active Contact who filed the document to verify the document was Accepted. All Active Contacts in the case receive a NEF of acceptance.
NEF Rejected Notification email	Notice sent only to the Active Contact who filed the document. When a document is rejected, it must be corrected, resubmitted for filing to the E-File System, and re-served on other parties and intervenors in the case.
Non-attorney Representative or Third- Party Safety Representative	A representative of the cited employer/respondent who is not an attorney but serves as the contact for the cited employer in front of the Commission (this does not include an in-house attorney or representative).
Notice of Appearance	Must be submitted by a Registered E-Filer to become an Active Contact in a specific docketed case. <i>See</i> Commission Rule 23.
Party	The complainant or respondent in a proceeding.
Rejected Document	A document may be rejected by Commission staff. A notice is sent to the party who submitted the document. A notice of rejection is not sent to the other parties. Upon rejection the serving party must notify the other parties and intervenors of the rejection, and that a new document will be resubmitted to the E-File System and re-served.
Representative	Any person, including an authorized employee representative, authorized by a party or intervenor to represent it in a proceeding.
Sensitive Information	Per Rule $8(c)(6)$, sensitive information in documents filed electronically must be redacted pursuant to $8(d)(5)$.
Simultaneous Email Service	Service of the document, by electronic mail, to all parties and intervenors in the case at the time the document is submitted to the Commission E-File System. This is necessary to ensure timely service of the document to all parties and intervenors on the same day that it is submitted for filing to the E-File System.