# 2025 Chief FOIA Officer Report Guidelines - Agencies Receiving More Than 50 Requests in Fiscal Year 2023

# Section I: FOIA Leadership and Applying the Presumption of Openness

The guiding principle underlying the Attorney General's 2022 <u>FOIA Guidelines</u> is the presumption of openness. The Guidelines also highlight the importance of agency leadership in ensuring effective FOIA administration. Please answer the following questions about FOIA leadership at your agency and describe the steps your agency has taken to ensure that the presumption of openness is being applied to all decisions involving the FOIA.

# A. Leadership Support for FOIA

1. The FOIA requires each agency to designate a Chief FOIA Officer who is a senior official at least at the Assistant Secretary or equivalent level. See 5 U.S.C. § 552(j)(1) (2018). Is your agency's Chief FOIA Officer at or above this level?

Answer: Yes.

2. Please provide the name and title of your agency's Chief FOIA Officer.

## Answer: Nadine N. Mancini, General Counsel

3. What steps has your agency taken to incorporate FOIA into its core mission? For example, has your agency incorporated FOIA milestones into its strategic plan?

Answer: The Occupational Safety and Health Act of 1970 requires all official acts taken by the Occupational Safety and Health Review Commission (OSHRC) to be "entered of record" and that such records be "open to the public." 29 U.S.C. § 661(g). Accordingly, FOIA principles, such as the presumption of openness, have always been central to OSHRC's mission. The agency proactively posts numerous records on its website and views FOIA as the responsibility of all agency employees. OSHRC continues to incorporate its commitment to transparency and proactive posting of records in its Performance and Accountability Reports, as well as in its Strategic Plan, which currently includes a specific strategic goal to expand transparency and accessibility. In addition, OSHRC revised its dedicated Open Government webpage during the current reporting period and updated the section of its Open Government Plan explaining the agency's commitment to transparency and administration of the FOIA during the last reporting period.

## **B. Presumption of Openness**

4. The Attorney General's 2022 FOIA Guidelines provides that "agencies should confirm in response letters to FOIA requesters that they have considered the foreseeable harm standard when reviewing records and applying FOIA exemptions." Does your agency provide such confirmation in its response letters?

# Answer: Yes. Since 2022, OSHRC confirms in every response letter where a FOIA exemption has been applied that it has considered the foreseeable harm standard.

- 5. In some circumstances, agencies may respond to a requester that it can neither confirm nor deny the existence of requested records if acknowledging the existence of records would harm an interested protected by a FOIA exemption. This is commonly referred to as a *Glomar* response. If your agency tracks Glomar responses, please provide:
  - the number of times your agency issued a full or partial *Glomar* response during Fiscal Year (FY) 2024 (separate full and partial if possible);
  - the number of times a *Glomar* response was issued by exemption during FY 2024 (e.g., Exemption 7(C) 20 times, Exemption 1 5 times).

Answer: To date, OSHRC has not processed any FOIA requests using a *Glomar* response, so there have been no responses to track.

6. Optional -- If there are any other initiatives undertaken by your agency to ensure that the presumption of openness is being applied, please describe them here.

Answer: During the last reporting period, OSHRC established a committee comprised of various personnel from each agency office, including the agency's FOIA Public Liaison, that was tasked with redesigning the agency website, including the FOIA webpage. Throughout the current reporting period, this committee has worked with a website designer to comprehensively redesign and update the website to include additional information about the agency and its work, and to make that information easily accessible to, and searchable by, the public. The new website is expected to launch in early 2025.

## **Section II: Ensuring Fair and Effective FOIA Administration**

The Attorney General's 2022 <u>FOIA Guidelines</u> provide that "[e]nsuring fair and effective FOIA administration requires . . . proper training, and a full understanding of FOIA obligations by the entire agency workforce." The Guidelines reinforce longstanding guidance to "work with FOIA requesters in a spirit of cooperation." The Attorney General also "urge[s] agency Chief FOIA Officers to undertake comprehensive review of all aspects of their agency's FOIA administration" as part of ensuring fair and effective FOIA administration.

# A. FOIA Training

1. The FOIA directs agency Chief FOIA Officers to ensure that FOIA training is offered to agency personnel. See 5 U.S.C. § 552(a)(j)(2)(F). Please describe the efforts your agency has undertaken to ensure proper FOIA training is made available and used by agency personnel.

Answer: OSHRC's new employee handbook includes the Office of Information Policy's (OIP) FOIA Infographic to immediately apprise employees of the role they play in the

agency's FOIA process. OSHRC's annual Privacy Act training includes slides on FOIA administration, as well as a virtual quiz to ensure personnel understand FOIA and Privacy Act requirements. Additionally, the Chief FOIA Officer provides information to OSHRC's FOIA professionals regarding available substantive and procedural FOIA training opportunities. Finally, after attending FOIA training, Chief FOIA Officer Council meetings, or FOIA Advisory Committee meetings, the FOIA Public Liaison posts the training materials on the agency's intranet and highlights any relevant new developments for the FOIA team.

2. Did your FOIA professionals or the personnel at your agency who have FOIA responsibilities attend substantive FOIA training during the reporting period such as that provided by the Department of Justice?

## Answer: Yes.

3. If yes, please provide a brief description of the type of training attended or conducted and the topics covered.

Answer: OSHRC's FOIA professionals attended a Backlog Reduction Plan workshop, as well as trainings offered by OIP on the following topics: Administrative Appeals, FOIA Compliance, and Customer Service; Exemptions 4 and 5; Procedural Requirements and Fees/Fee Waivers; Privacy Considerations; Litigation; Annual FOIA Report Refresher and Quarterly Reports; and Chief FOIA Officer Report Refresher. The FOIA Public Liaison also attended FOIA Advisory Committee meetings and Chief FOIA Officer Council meetings.

4. Please provide an estimate of the percentage of your FOIA professionals and staff with FOIA responsibilities who attended substantive FOIA training during this reporting period.

## Answer: 100% of the agency's FOIA professionals received substantive FOIA training.

5. OIP has <u>directed agencies</u> to "take steps to ensure that all of their FOIA professionals attend substantive FOIA training at least once throughout the year." If your response to the previous question is that less than 80% of your FOIA professionals attended training, please explain your agency's plan to ensure that all FOIA professionals receive or attend substantive FOIA training during the next reporting year.

#### Answer: N/A

6. Describe any efforts your agency has undertaken to inform non-FOIA professionals of their obligations under the FOIA. In particular, please describe how often and in what formats your agency provides FOIA training or briefings to non-FOIA staff; and if senior leaders at your agency received a briefing on your agency's FOIA resources, obligations and expectations during the FOIA process?

Answer: OSHRC received significantly more FOIA requests this reporting period than any prior reporting period that required the FOIA team to send search requests to senior leaders to process such requests. Given this increase, the FOIA team frequently engaged in detailed discussions with senior leaders regarding these requests and FOIA generally. In addition, FOIA personnel routinely send search requests and detailed instructions relevant to each FOIA request to agency personnel and update senior leadership with weekly status reports whenever there are pending search requests within their respective offices.

#### B. Outreach

7. As part of the standard request process, do your FOIA professionals proactively contact requesters concerning complex or voluminous requests in an effort to clarify or narrow the scope of the request so requesters can receive responses more quickly? Please describe any such outreach or dialogue and, if applicable, any specific examples.

Answer: Yes. The agency's FOIA professionals begin processing requests almost immediately after receipt and reach out to requesters without delay when clarification is necessary or where it is likely that a search will yield voluminous results. For example, often when requesters have asked for "all records" regarding a case over which OSHRC has presided, or is currently presiding, the FOIA Disclosure Officer offers the case docket sheet to requesters, which allows them to review a list of all available records in that case and clarify the specific records they are seeking. Additionally, OSHRC's redesigned website will include a revised, now web-based, online FOIA request form to better guide requesters in providing all necessary information at the time their initial request is submitted so that additional clarification may not be necessary.

8. Outside of the standard request process or routine FOIA Liaison or FOIA Requester Service Center interactions, did your FOIA professionals engage in any outreach or dialogue, with the requester community or open government groups regarding your administration of the FOIA? For example, did you proactively contact frequent requesters, host FOIA-related conference calls with open government groups, or provide FOIA training to members of the public? Please describe any such outreach or dialogue and, if applicable, any specific examples of how this dialogue has led to improvements in your agency's FOIA administration.

Answer: Yes. OSHRC's Public Affairs Officer informs the Chief FOIA Officer and FOIA Public Liaison of matters that may be of public interest. Additionally, OSHRC's Open Government Plan is posted on a dedicated webpage on the agency website, which also includes OSHRC's designated Open Government email address, so that the public can provide feedback and suggestions for improving transparency. Throughout the redesigning of its website, including its Open Government webpage, OSHRC solicited recommendations from every office in the agency. Further, in conjunction with the website redesign, the FOIA Disclosure Officer provided revisions to the FOIA webpage, and

specifically, the online FOIA request form, based on the most frequently asked questions by FOIA requesters.

9. The FOIA Improvement Act of 2016 requires additional notification to requesters about the services provided by the agency's FOIA Public Liaison. Please provide an estimate of the number of times requesters sought assistance from your agency's FOIA Public Liaison during Fiscal Year 2024 (please provide a total number or an estimate of the number for the agency overall).

Answer: Requesters sought assistance from OSHRC's FOIA Public Liaison approximately six times during the fiscal year. In these instances, requesters, who were often first-time OSHRC FOIA requesters, sought assistance before submitting their request to understand the types of records maintained by OSHRC, how to submit a request, what type of information to include in their request, and/or if another agency might be more likely to maintain the records they are seeking. No requesters sought assistance from the FOIA Public Liaison regarding any dissatisfaction after receiving a response letter or in applicable circumstances, responsive records.

## C. Other Initiatives

10. Has your agency evaluated the allocation of agency personnel resources needed to respond to current and anticipated FOIA demands? If so, please describe what changes your agency has or will implement.

Answer: Yes. OSHRC received approximately 61.3% more FOIA requests during Fiscal Year 2024 than it did in Fiscal Year 2023 (and remarkably, 157.4% more requests than it did in Fiscal Year 2022). As such, the agency regularly evaluated staffing resources and workloads to ensure that requests continued to be efficiently processed and FOIA exemptions were applied accurately, as needed. Given the considerable increase in requests received and processed by the agency during this fiscal year, the FOIA Public Liaison and Disclosure Officer spent additional time on FOIA matters, and augmented their collaboration with the Privacy Officer to ensure continued efficiency and accuracy in the processing of FOIA requests.

11. How does your agency use data or processing metrics to ensure efficient management of your FOIA workload? For example, case management reports, staff processing statistics, etc. In addition, please specifically highlight any data analysis methods or technologies used.

Answer: When preparing its Annual Report and analyzing the raw data to construct the report, OSHRC examines how the number of FOIA requests processed and the processing time for those requests compares to previous years. For example, despite the substantial increase in requests received (and processed) by OSHRC this fiscal year, the FOIA team maintained the average number of days needed to complete the processing of simple

requests and decreased both the average and highest number of days needed to complete the processing of complex requests in Fiscal Year 2024. This accomplishment was due, in part, to the agency's review of the most efficient methods used to process specific types of FOIA requests in Fiscal Year 2023, as well as previous fiscal years.

- 12. The federal <u>FOIA Advisory Committee</u>, comprised of agency representatives and members of the public, was created to foster dialogue between agencies and the requester community, solicit public comments, and develop recommendations for improving FOIA administration. Since 2020, the FOIA Advisory Committee has issued a number of <u>recommendations</u>. Please answer the below questions:
  - Is your agency familiar with the FOIA Advisory Committee and its recommendations?
  - Has your agency implemented any of its recommendations or found them to be helpful? If so, which ones?

Answer: Yes, the FOIA Public Liaison has attended several FOIA Advisory Committee meetings and shares the meeting materials with the FOIA team. In addition, OSHRC has implemented some of the Advisory Committee's recommendations, such as reporting annually on use of *Glomar* responses (which, to date, OSHRC has not used), revising its procedures to improve how individuals can request records about themselves, and identifying the corresponding privilege involved in a determination letter when using Exemption 5. Notably, in light of the increase in requests requiring the agency to search for and review voluminous records, the FOIA team found the Advisory Committee's recommendation to provide requesters with interim or partial responses to be helpful in providing requesters with responsive records for their review while OSHRC continues to complete the processing of their request in full.

13. Optional -- If there are any other initiatives undertaken by your agency to ensure fair and effective FOIA administration, please describe them here.

Answer: In light of the increase of requests received generally, and particularly, complex requests where the records resulting from searches are voluminous and/or particularly sensitive in nature, the FOIA team has increased internal communication and the use of status updates within the team to continually evaluate how best to prioritize and effectively process requests.

#### **Section III: Proactive Disclosures**

The Attorney General's 2022 <u>FOIA Guidelines</u> emphasize that "proactive disclosure of information is . . . fundamental to the faithful application of the FOIA." The Guidelines direct agencies to post "records online quickly and systematically in advance of any public request"

and reiterate that agencies should post records "in the most useful, searchable, and open formats possible."

1. Please describe what steps your agency takes to identify, track, and post (a)(2) proactive disclosures.

Answer: All offices within OSHRC work to identify and post proactive disclosures on the agency website. Certain types of records are posted on a regular basis, such as agency decisions and Commission briefing notices, while others are posted because they are of significant public interest, such as select non-merit-based (procedural) administrative law judge (ALJ) decisions and Commission-level oral arguments. Each office is encouraged to identify records that should be proactively disclosed, which aids the FOIA team in maximizing the number of proactive disclosures. The Chief FOIA Officer and FOIA Public Liaison are often consulted by the Privacy Officer regarding the nature of the information contained in these proactive disclosures.

- 2. Does your agency post logs of its FOIA requests?
  - If so, what information is contained in the logs?
  - Are they posted in CSV format? If not, what format are they posted in?
  - Please provide a link to the page where any FOIA logs are posted. If applicable, please provide component links.

Answer: No. OSHRC's FOIA logs have been requested less than three times, and sometimes not at all, during any given fiscal year.

3. Provide examples of any material (with links) that your agency has proactively disclosed during the past reporting year, including records that have been requested and released three or more times in accordance with 5 U.S.C. § 552(a)(2)(D).

Answer: OSHRC maintains a comprehensive e-FOIA Reading Room where the records flagged by the agency's FOIA professionals for proactive disclosure are posted.

https://www.oshrc.gov/foia/oshrc-e-foia-reading-room/

Additionally, OSHRC continues to proactively disclose other records on the agency website, such as reports concerning monthly dockets, case activity, and new cases received; decisions, both final and pending Commission review, and those issued by ALJs; as well as briefing notices for cases that have been directed for review.

https://www.oshrc.gov/open-government/

No records were requested three or more times during this reporting period.

4. Please provide a link (or component links, if applicable) where your agency routinely posts its frequently requested records.

Answer: If any records were to be requested frequently, OSHRC would post them in its e-FOIA Reading Room.

# https://www.oshrc.gov/foia/oshrc-e-foia-reading-room/

5. Beyond posting new material, is your agency taking steps to make the posted information more useful to the public, especially to the community of individuals who regularly access your agency's website? If yes, please provide examples of such improvements, such as steps taken to post information in open and machine readable formats. If not taking steps to make posted information more useful, please explain why.

Answer: Yes, OSHRC's website redesign efforts have been aimed, in part, at ensuring improved ease of access to proactively disclosed records by reorganizing the location of certain records, streamlining drop-down menus throughout the website, and providing "Last Updated" dates on webpages to alert the public regarding the current status of the posted material. When the website's Decision Search feature was updated, OSHRC took into account inquiries from the requester community (and relayed such priorities to the website designer) regarding final agency decisions, broadening the way users can search for such decisions (including conducting natural word searches), which will make it easier and more efficient for the public to locate records without submitting a FOIA request and/or refine or clarify their FOIA request. OSHRC also emphasized improving how search results are generated from the website's Decision Search to ensure results are provided to the public in a useful and practical format. Finally, records available on OSHRC's dedicated FOIA webpage and in its e-FOIA Reading Room continue to be posted in the most useful format (both PDF and/or HTML file types). OSHRC's FOIA webpage links directly to its Open Government page, where records are also posted in the same format.

6. Does your proactive disclosure process or system involve any collaboration with agency staff outside the FOIA office, such as IT or data personnel? If so, describe this interaction.

Answer: Yes. OSHRC's Office of the Executive Secretary, Office of the Executive Director (within which the agency's IT team resides), and Office of the General Counsel (within which the FOIA team resides) are each responsible for certain types of proactive disclosures on a regular basis. These offices frequently inform one another of additional disclosures, as needed, and determine the format of such disclosures and whether redactions are necessary prior to disclosure to protect privacy interests. Additionally, representatives from all of the aforementioned agency offices, as well as the Office of the Chairman and Office of the Chief ALJ, serve on OSHRC's website redesign committee and have collaborated on how best to efficiently (and increasingly) post records on the redesigned website.

7. Optional -- Please describe the best practices used to improve proactive disclosures and any challenges your agency faces in this area.

Answer: In reviewing records for proactive disclosure, the Privacy Officer works closely with the Chief FOIA Officer, FOIA Public Liaison, and Office of the Executive Secretary in advance of posting such records to ensure that they do not contain information that cannot be disclosed pursuant to the FOIA or Privacy Act. This collaboration streamlines the posting process and ensures that proactive disclosures comply with the applicable statutes. Further, during this reporting period, OSHRC updated its redaction policy for records posted on the website and disseminated the policy agency-wide so that OSHRC personnel responsible for drafting documents that are regularly posted on the website are fully apprised of the policy.

## Section IV: Steps Take to Greater Utilize Technology

A key component of FOIA administration is using technology to make information available to the public and to gain efficiency in FOIA processing. The Attorney General's 2022 FOIA Guidelines emphasize the importance of making FOIA websites easily navigable and complying with the FOIA.gov interoperability requirements. Please answer the following questions to describe how your agency is using technology to improve its FOIA administration and the public's access to information.

1. Has your agency reviewed its FOIA-related technological capabilities to identify resources needed to respond to current and anticipated FOIA demands?

#### Answer: Yes.

2. Please briefly describe any new types of technology your agency uses to support your FOIA program.

Answer: This reporting period, OSHRC contracted with an outside vendor to provide video redaction services so that necessary redactions to video records responsive to FOIA requests could be accurately implemented. OSHRC is now well-positioned to engage such services if future requests for video records require the same types of redactions. Additionally, OSHRC's work with a website designer to redesign the agency website has meant relying on the designer's expertise and knowledge of new technology to support improvements to its FOIA program. Finally, OSHRC's FOIA professionals engage as necessary with personnel in the agency's IT department regarding the use of different technology to search for records for FOIA requests. This collaboration, in conjunction with OSHRC FOIA professionals' use of the agency's mandatory e-filing system, continues to expand record search capability and increase efficiency in the review and redaction of resulting records.

3. Does your agency currently use any technology to automate record processing? For example, does your agency use machine learning, predictive coding, technology assisted review or similar tools to conduct searches or make redactions? If so, please describe and, if possible, estimate how much time and financial resources are saved since implementing the technology.

Answer: No. In light of the number of requests received annually by OSHRC, the FOIA team requires limited technology to facilitate record processing. In situations where the FOIA team is redacting the same information throughout a voluminous number of pages within records, usually for a complex request, tools to find text and redact in bulk may be used. The use of this technology substantially reduces the amount of time needed to review and redact certain records by the FOIA team, all of whom have duties beyond FOIA related to OSHRC's core mission of adjudication. This is evidenced by the ability of the agency's FOIA professionals to decrease the average number of days needed to respond to complex requests from Fiscal Year 2023 to Fiscal Year 2024 despite the 237.5% increase in the number of complex requests processed.

4. OIP issued <u>guidance</u> in 2017 encouraging agencies to regularly review their FOIA websites to ensure that they contain essential resources and are informative and user-friendly. Has your agency reviewed its FOIA website(s) during the reporting period to ensure it addresses the elements noted in the guidance?

Answer: Yes. OSHRC is in the final stages of redesigning its agency website, including revisions to its dedicated FOIA webpage and online FOIA request form that are based, in large part, on recommendations from the agency's FOIA professionals, as well as a website designer.

5. Did all four of your agency's quarterly reports for Fiscal Year 2024 appear on FOIA.gov?

Answer: Yes.

6. If your agency did not successfully post all quarterly reports on FOIA.gov, please explain why and provide your agency's plan for ensuring that such reporting is successful in Fiscal Year 2025. ]

Answer: N/A

7. The FOIA Improvement Act of 2016 requires all agencies to post the raw statistical data used to compile their Annual FOIA Reports. Please provide the link to this posting for your agency's Fiscal Year 2023 Annual FOIA Report and, if available, for your agency's Fiscal Year 2024 Annual FOIA Report.

Answer: <a href="https://www.oshrc.gov/foia/oshrc-annual-foia-reports/">https://www.oshrc.gov/foia/oshrc-annual-foia-reports/</a>

8. In February 2019, DOJ and OMB issued joint <u>Guidance</u> establishing interoperability standards to receive requests from the National FOIA Portal on FOIA.gov. Are all components of your agency in compliance with the guidance?

#### Answer: Yes.

9. Optional -- Please describe the best practices used in greater utilizing technology and any challenges your agency faces in this area.

Answer: OSHRC's revisions to its online FOIA request form, including its conversion to a web-based form, make submission of the form even simpler for requesters. Additionally, this fiscal year, approximately 94% of FOIA requests received by OSHRC were submitted using either the agency's online FOIA request form or the National FOIA Portal. OSHRC is adept at processing Portal requests (which accounted for approximately one quarter of the FOIA requests the agency received in Fiscal Year 2024) as well as identifying common omissions by requesters using the Portal to promptly request any additional information needed for processing, or to direct requesters to agencies more likely to maintain the records being sought.

# Section V: Steps Taken to Remove Barriers to Access, Improve Timeliness in Responding to Requests, and Reduce Backlogs

The Attorney General's 2022 <u>FOIA Guidelines</u> instruct agencies "to remove barriers to requesting and accessing government records and to reduce FOIA processing backlogs." Please answer the following questions to describe how your agency is removing barriers to access, improving timeliness in responding to requests, and reducing FOIA backlogs.

### A. Remove Barriers to Access

1. Has your agency established alternative means of access to first-party requested records, outside of the typical FOIA or Privacy Act process?

Answer: Detailed instructions on how to request access to first-party records are available on OSHRC's Privacy webpage, prominently linked to from the agency homepage.

2. If yes, please provide examples. If no, please indicate why not. Please also indicate if you do not know.

Answer: Although requests pursuant to OSHRC's Privacy Act regulations are infrequent, OSHRC's website provides instructions on how to submit a Privacy Act request, including the required documentation that must accompany the request. OSHRC's Privacy Officer and FOIA Public Liaison work in tandem to ensure the timely processing of requests that require processing under both the FOIA and the Privacy Act.

3. Please describe any other steps your agency has taken to remove barriers to accessing government information.

Answer: In addition to having a dedicated email address specifically for first-party requests for records, OSHRC is currently developing a fillable form to be included on the redesigned website for individual access to records protected under the Privacy Act.

#### **B.** Timeliness

4. For Fiscal Year 2024, what was the average number of days your agency reported for adjudicating requests for expedited processing? Please see Section VIII.A. of your agency's Fiscal Year 2024 Annual FOIA Report.

Answer: N/A

5. If your agency's average number of days to adjudicate requests for expedited processing was above ten calendar days, according to Section VIII.A. of your agency's Fiscal Year 2024 Annual FOIA Report, please describe the steps your agency will take to ensure that requests for expedited processing are adjudicated within ten calendar days or less.

Answer: N/A

6. Does your agency utilize a separate track for simple requests?

Answer: Yes. OSHRC utilizes a multi-track system where requests are categorized as simple, complex, or expedited.

7. If your agency uses a separate track for simple requests, according to Annual FOIA Report section VII.A, was the agency overall average number of days to process simple requests twenty working days or fewer in Fiscal Year 2024?

Answer: Yes. The average was 4 days.

8. If not, did the simple track average processing time decrease compared to the previous Fiscal Year?

Answer: N/A

9. Please provide the percentage of requests processed by your agency in Fiscal Year 2024 that were placed in your simple track. Please use the following calculation based on the data from your Annual FOIA Report: (processed simple requests from Section VII.C.1) divided by (requests processed from Section V.A.) x 100.

Answer: The percentage of requests processed in the agency's simple track was 88.5%.

10. If your agency does not track simple requests separately, was the average number of days to process all non-expedited requests twenty working days or fewer?

Answer: N/A

C. Backlogs

# **Backlogged Requests**

11. If your agency had a backlog of requests at the close of Fiscal Year 2024, according to Annual FOIA Report Section XII.D.2, did that backlog decrease as compared with the backlog reported at the end of Fiscal Year 2023?

Answer: No. However, at the close of Fiscal Year 2023, OSHRC had a backlog of only one request.

12. If not, according to Annual FOIA Report Section XII.D.1, did your agency process more requests during Fiscal Year 2024 than it did during Fiscal Year 2023?

Answer: Yes. OSHRC processed 71.5% more requests during Fiscal Year 2024 than it did during Fiscal Year 2023.

- 13. If your agency's request backlog increased during Fiscal Year 2024, please explain why and describe the causes that contributed to your agency not being able to reduce its backlog. When doing so, please also indicate if any of the following were contributing factors:
  - An increase in the number of incoming requests
  - A loss of staff
  - An increase in the complexity of the requests received (if possible, please provide examples or briefly describe the types of complex requests contributing to your backlog increase)
  - Litigation
  - Any other reasons please briefly describe or provide examples when possible

Answer: OSHRC received 61.3% more requests in Fiscal Year 2024 than it did in Fiscal Year 2023. Requests in backlog at the end of Fiscal Year 2024 were/are being processed on OSHRC's complex track, which is consistent with the increased number of requests the agency received that requires processing on its complex track. Many of these complex requests required the search for, and review of, voluminous records requiring redactions and/or withholdings, and others were increasingly complex, requiring novel searches distinct from the more frequently requested agency case records, such as searches involving

the agency's intranet, personnel records, and comprehensive correspondence from/between senior leadership.

14. If you had a request backlog, please report the percentage of requests that make up the backlog out of the total number of requests received by your agency in Fiscal Year 2024. Please use the following calculation based on data from your Annual FOIA Report: (backlogged requests from Section XII.A) divided by (requests received from Section V.A) x 100. This number can be greater than 100%. If your agency has no request backlog, please answer with "N/A."

Answer: The percentage of requests that make up the agency's backlog is 5.37%. This is the highest backlog OSHRC has ever had at the close of any given fiscal year and is directly related to the sharp increase in complex requests received.

# **Backlogged Appeals**

15. If your agency had a backlog of appeals at the close of Fiscal Year 2024, according to Section XII.E.2 of the Annual FOIA Report, did that backlog decrease as compared with the backlog reported at the end of Fiscal Year 2023?

Answer: N/A

16. If not, according to section XII.E.1 of the Annual FOIA Report, did your agency process more appeals during Fiscal Year 2024 than it did during Fiscal Year 2023?

Answer: N/A

- 17. If your agency's appeal backlog increased during Fiscal Year 2024, please explain why and describe the causes that contributed to your agency not being able to reduce its backlog. When doing so, please also indicate if any of the following were contributing factors:
  - An increase in the number of incoming appeals
  - A loss of staff
  - An increase in the complexity of the requests received (if possible, please provide examples or briefly describe the types of complex requests contributing to your backlog increase)
  - Litigation
  - Any other reasons please briefly describe or provide examples when possible

Answer: N/A

18. If you had an appeal backlog please report the percentage of appeals that make up the backlog out of the total number of appeals received by your agency in Fiscal Year 2024. Please use the following calculation based on data from your Annual FOIA Report: (backlogged appeals from Section XII.A) divided by (appeals received from Section VI.A) x 100. This number can be greater than 100%. If your agency did not receive any appeals in Fiscal Year 2024 and/or has no appeal backlog, please answer with "N/A."

Answer: N/A

## **D. Backlog Reduction Plans**

19. In the 2024 guidelines for Chief FOIA Officer Reports, any agency with a backlog of over 1000 requests in Fiscal Year 2023 was asked to provide a plan for achieving backlog reduction in the year ahead. Did your agency implement a backlog reduction plan last year? If so, describe your agency's efforts in implementing this plan and note if your agency was able to achieve backlog reduction in Fiscal Year 2024?

Answer: N/A

20. If your agency had a backlog of more than 1,000 requests in Fiscal Year 2024, please explain your agency's plan to reduce this backlog during Fiscal Year 2025.

Answer: N/A

# E. Reducing the Age of Requests, Appeals, and Consultations

## **Ten Oldest Requests**

21. In Fiscal Year 2024, did your agency close the ten oldest pending perfected requests that were reported in Section VII.E. of your Fiscal Year 2023 Annual FOIA Report?

Answer: OSHRC has one complex request that was among its ten oldest pending requests at the end of Fiscal Year 2023 that is currently being processed.

22. If no, please provide the number of these requests your agency was able to close by the end of the fiscal year, as listed in Section VII.E of your Fiscal Year 2023 Annual FOIA Report. If you had less than ten total oldest requests to close, please indicate that.

Answer: OSHRC closed nine of the ten oldest requests that were pending at the end of Fiscal Year 2023.

23. Beyond work on the ten oldest requests, please describe any steps your agency took to reduce the overall age of your pending requests.

Answer: In addition to the significant increase in the overall number of requests received and processed by OSHRC from Fiscal Year 2023 to Fiscal Year 2024, OSHRC had a

237.5% increase in the number of complex requests processed this fiscal year. Despite that increase, OSHRC decreased the average number of days needed to process complex requests generally, as well as in cases where records were disclosed. This was achieved, in part, by OSHRC's FOIA team maintaining open communication with requesters and offering assistance to clarify, and specifically target, the types of records requesters were seeking. OSHRC's FOIA professionals also possess the required skills and experience to foresee when additional resources will be needed to efficiently process a request (for example, when outside video redaction services were required for the processing of a complex request) and are able to obtain those resources, as well as adapt if necessary to take on different roles internally within the agency's FOIA process.

# **Ten Oldest Appeals**

24. In Fiscal Year 2024, did your agency close the ten oldest appeals that were reported pending in Section VI.C.5 of your Fiscal Year 2023 Annual FOIA Report?

Answer: N/A

25. If no, please provide the number of these appeals your agency was able to close by the end of the fiscal year, as listed in Section VII.C.(5) of your Fiscal Year 2023 Annual FOIA Report. If you had less than ten total oldest appeals to close, please indicate that.

Answer: N/A

26. Beyond work on the ten oldest appeals, please describe any steps your agency took to reduce the overall age of your pending appeals.

Answer: N/A

#### **Ten Oldest Consultations**

27. In Fiscal Year 2024, did your agency close the ten oldest consultations that were reported pending in Section XII.C. of your Fiscal Year 2023 Annual FOIA Report?

Answer: N/A

28. If no, please provide the number of these consultations your agency was able to close by the end of the fiscal year, as listed in Section XII.C. of your Fiscal Year 2023 Annual FOIA Report. If you had less than ten total oldest consultations to close, please indicate that.

Answer: N/A

## **Additional Information Regarding Ten Oldest**

29. If your agency did not close its ten oldest pending requests, appeals, or consultations, please explain why and provide a plan describing how your agency intends to close those "ten oldest" requests, appeals, and consultations during Fiscal Year 2025.

Answer: OSHRC's FOIA professionals are working diligently to close the one remaining request of its ten oldest pending requests. That request is being processed in conjunction with other related requests subsequently submitted by the same requester during Fiscal Year 2024 (and now, Fiscal Year 2025). As such, additional steps are being taken to simultaneously process all of these requests, including the one remaining from Fiscal Year 2023, to ensure a coordinated and accurate response to each.

# F. Additional Information about FOIA Processing

- 30. Were any requests at your agency the subject of FOIA litigation during the reporting period? If so, please describe the impact on your agency's overall FOIA request processing and backlog. If possible, please indicate:
  - The number and nature of requests subject to litigation
  - Common causes leading to litigation
  - Any other information to illustrate the impact of litigation on your overall FOIA administration

Answer: No.